Melanoma Immunotherapy: Mechanisms and Opportunities

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Abstract

Immune checkpoint blockade via inhibition of Cytotoxic T Lymphocyte Antigen 4 (CTLA-4) and Programmed Cell Death 1 Receptor (PD-1) has demonstrated significant clinical benefits in treating melanoma and other types of cancers and has since become a very progressive field in cancer research. Despite durable tumor regression observed in some patients, response rates to CTLA-4 and PD-1 still have room for improvement. There are many additional immune modulatory pathways, including inhibitory molecules expressed on tumor cells and secretion of pro-inflammatory cytokines by lymphatic cells that could potentially be targeted to enhance the anti-tumor responses to PD-1 and CTLA-4. Here, we review the current status of CTLA-4 and PD-1 inhibitors in the treatment of melanoma and several therapeutic targets and strategies that may synergize with checkpoint blockades.

Introduction

Melanoma is one of the most lethal and aggressive forms of skin cancer and has historically been a treatment-resistant malignancy. However, in recent years improved understanding of the molecular nature of melanoma and the interaction of melanoma cells with the immune system has resulted in multiple new immunotherapeutic strategies, of which immune checkpoint inhibitors are the most advanced[1].

Immunotherapy aims to generate or enhance T-cell responses against tumor cells. Activation of naive T-cells requires two signals: T-cell Receptor (TCR) signaling and co-stimulatory signaling (Figure 1). The first signal is provided by binding of an antigenic peptide/major Histocompatibility complex on the surface of an Antigen-Presenting Cell (APC) to the T-cell receptor. Melanoma is characterized by a high rate of ultraviolet induced mutations. These mutations lead to changes in protein coding sequence, which the immune system recognizes as altered proteins epitopes, also known as neoantigens[2,3].

A second co-stimulatory interaction between the T-cell and APC can modulate TCR signaling positively or negatively. These co-stimulatory and co-inhibitory molecules, expressed on the surface of the T-cell, mediate immune checkpoints [4]. Under normal physiological conditions, immune checkpoint pathways maintain self-tolerance and limit collateral tissue damage during anti-microbial immune responses. Cancer can exploit inhibitory immune checkpoints to evade tumor destruction.

The most clinically advanced checkpoint inhibitors to date are blocking monoclonal antibodies that target the CTLA-4 and PD-1 pathways. CTLA-4 is a Transmembrane protein on T-cells that competes with another receptor on T-cells, CD28, for the same ligands. These ligands, CD80 (also known as B7.1) and CD86 (also known as B7.2) are expressed on APCs. Binding between CD80/86 and CD28 stimulates T-cell activation, while binding to CTLA-4 inhibits T-cell activation (Figure 1) [5,6]. Therefore, the expression level of CTLA-4 dictates the threshold of T-cell activation by APCs, and CTLA-4 inhibitors promote T-cell activation by blocking the binding between CTLA-4 and B7. Like CTLA-4, PD-1 is a co-inhibitory surface receptor expressed on T-cells. The PD-1 ligands PD-L1 and PD-L2 are expressed in a wide variety of peripheral tissues, including tumors. Upon binding to its ligands, PD-1 negatively regulates the function of activated T-cells. PD-L1 expression in the tumor microenvironment is one important mechanism of tumor-mediated immune evasion (Figure 1) [7,8]. PD-L1 inhibitors block the binding between PD-1 and PD-L1/PD-L2, whereas PD-L1 inhibitors more selectively block the interactions between PD-L1 and PD-1/CD80. Both prevent T-cell exhaustion
and PD-1 and PD-L1 inhibitors have demonstrated comparable clinical efficacy\(^9\). In summary, CTLA-4 primarily suppresses the activation of naive T-cells by APCs while PD-1 inhibits previously-activated effector T-cells\(^{10,11}\). This is thought to explain why PD-1 inhibitors have a lower toxicity profile compared to CTLA-4 inhibitors. Although CTLA-4 and PD-1 inhibitors are currently the most promising cancer immunotherapy treatment options, most melanoma patients still do not respond to these therapies. In this review, we discuss progress in the use of CTLA-4 and PD-1 inhibitors for the treatment of melanoma, and different mechanisms and therapeutic methods that could potentially improve the efficacy of CTLA-4 and PD-1 inhibitors.

**Current Status of CTLA-4 and PD-1 Inhibitors**

There are three checkpoint blockade agents that have been approved by the FDA for the treatment of advanced melanoma: Ipilimumab (antibody against CTLA-4), Pembrolizumab and Nivolumab (antibodies against PD-1). Compared to traditional targeted therapies, such as BRAF inhibitors, checkpoint blockade offers more durable responses but with lower response rates. A recently published meta-analysis of survival data following Ipilimumab therapy reported that Ipilimumab extended overall survival from approximately 8 months to 11.4 months in patients with metastatic melanoma. A plateau survival rate of 21% was reached around year 3, with follow-up of up to 10 years\(^{12}\).

Compared to Ipilimumab, PD-1 inhibitors elicit higher response rates with fewer side effects\(^{13,14}\). In a recently published phase III study comparing Pembrolizumab versus Ipilimumab in the treatment of advanced melanoma\(^{15}\), the estimated 12-month survival rates were 74.1% for Pembrolizumab administered every 2 weeks at the dose of 10 mg/kg, 68.4% for Pembrolizumab administered every 3 weeks at the dose of 10mg/kg, and 58.2% for Ipilimumab administered every 3 weeks at the dose of 3mg/kg. The response rates for Pembrolizumab administered every 2 weeks and 3 weeks were 33.7% and 32.9% respectively, significantly higher than the response rate for Ipilimumab administered every 3 weeks (11.9%). Rates of grade 3 - 5 adverse events in the two Pembrolizumab groups (13.3% in the 2-week group and 10.1% in the 3-week group) were lower than the rate in the Ipilimumab group (19.9%). It should be noted that clinical trials for Pembrolizumab and Nivolumab started 6 years later than the trials for Ipilimumab and clinical studies with longer follow-up are needed to fully evaluate the efficacy of PD-1 inhibitors.

The non-redundant mechanisms of CTLA-4 and PD-1 provide a rationale for combination of CTLA-4 and PD-1 inhibitors. Several clinical studies have shown superior response rates and progression free survival with combined Ipilimumab and Nivolumab treatment\(^{16-17}\). For example, the phase III study testing Nivolumab and Ipilimumab combined therapy in patients with metastatic melanoma reported an objective response rate of 43.7% in the Nivolumab group (3mg/kg administered every 2 weeks), 19.0% in Ipilimumab group (3mg/kg administered every 3 weeks) and 53.6% in the combined Nivolumab Ipilimumab group (4 doses of 1 mg/kg Nivolumab plus 3 mg/kg Ipilimumab administered every 3 weeks, followed by 3 mg/kg Nivolumab administered every two weeks)\(^{17}\). The median progression-free survival for the combined therapy was 11.5 months, compared to 2.9 months for Ipilimumab, and 6.9 months for Nivolumab. It should be noted that in patients with high PD-L1 expression on tumor cells, the median progression-free survival was the same in the combined therapy group and in the Nivolumab group (14 months), but in patients with tumors that expressed a low level of PD-L1, progression-free survival was longer in the combined therapy group (11.2 months vs. 5.3 months). This suggests that PD-L1 expression in the tumor is associated with but does not

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**Figure 1:** Anti-tumor immunity. The immune response against melanoma begins with the uptake of tumor-associated antigens by APCs, which then migrate to lymphatic tissues to activate naive T-cells. Two signals are required for T-cell activation: antigen presentation and co-stimulatory signaling. In this process, CTLA-4 competes with the co-stimulatory molecule CD28 for ligands CD80 and CD86, inhibiting naive T-cell activation. Blocking monoclonal antibodies against CTLA-4 prevent binding of CTLA-4 to its ligands, allowing T-cell activation. Activated T-cells secrete type I interferons that can activate other immune cells including natural killer cells and macrophages. Effector T-cells subsequently traffic to and infiltrate tumors, destroying cancer cells. During this stage, PD-L1 expressed on tumor and stromal cells bind PD-1 receptors on T-cells. PD-1 signaling inhibits T-cells. In addition, interferon-γ secreted by tumor infiltrating lymphocytes upregulates PD-L1 expression on tumor cells, suppressing T-cell responses. PD-1 inhibitors reinvigorate exhausted T-cells by blocking the interaction between PD-L1 and PD-1.
Mechanisms and Therapeutic Strategies to Enhance Immune Checkpoint Blockade Efficacy

The potential for highly durable responses has led to great interest in developing synergistic combinatorial approaches with other treatment modalities that could expand the proportion of responders to checkpoint blockade.

Overview of anti-tumor immune response

The immune response against tumor cells can be conceptualized in four steps (Figure 1): 1) APCs are activated by tumor-associated antigens and present antigens to T-cells in the lymphatic system; 2) APCs activate antigen-specific T-cells; 3) activated T-cells traffic and infiltrate into the tumor; 4) Cytotoxic T-cells recognize and attack cancer cells[15-18]. In this process, CTLA-4 and PD-1 inhibitors are known to enhance T-cell activation and subsequent cancer cell recognition and killing[20], but APC activation and T-cell trafficking must be addressed by other therapeutic methods.

Role of the inflammatory tumor microenvironment in immunotherapy

Density of tumor infiltrating cytotoxic CD8+ T-cells is one of the best predictors of response to current checkpoint blockade therapies[21-23]. Characterization of the tumor microenvironment reveals two immunologic phenotypes: inflamed and non-inflamed tumors[24]. Many studies have shown that inflamed tumors, with dense T-cell infiltration and high concentrations of type I interferons, are more likely to respond to checkpoint blockade. Non-inflamed tumors lack T-cell infiltrate and may require additional interventions to achieve optimal immunological response and innate immune activation in the tumor microenvironment. However, the role of the inflammation is complex. Previous studies have shown a higher incidence of cancer in tissues that have experienced chronic inflammation, suggesting a pro-tumorigenic effect in some inflammatory contexts[25-28]. Chronic inflammation in the tumor microenvironment may contribute to tumor growth by promoting angiogenesis, cancer cell proliferation, tissue invasion and metastasis[29]. Thus, there is a delicate balance between pro- and anti-tumor immunity that is determined by the relative activation of different cell types and expression of various immune mediators in the tumor microenvironment[25,30,31]. Further studies are needed to improve our understanding of optimal targets and mechanisms in the inflammatory tumor microenvironment in order to improve immunotherapy.

Innate immunity is critical in the anti-tumor immune response

Innate immunity represents the first line of immune defense. One major part of the innate immune system that is important for anti-tumor immune responses is the activation of antigen-specific T-cells by APCs. As discussed earlier, T-cell priming requires two signals: the interactions between antigens and the T-cell receptors, and the binding of co-stimulatory molecules to their receptors. The expression of co-stimulatory molecules is canonically triggered by recognition of Pathogen Associated Molecular Patterns (PAMP) by APCs. PAMPs are molecules that indicate the presence of pathogens like bacteria and viruses, and APCs detect PAMPs through Pattern Recognition Receptors (PRRs). PRRs then activate multiple pathways, and one of them leads to the production and surface expression of co-stimulatory molecules. PRRs can also stimulate secretion of type I interferons, which can induce co-stimulatory molecule expression on other cells including macrophages. It has been observed in T-cell infiltrated tumors that some pathways related to innate response are activated and have high expression of type I interferons[33-34]. In addition, a recent study reported that long-term clinical benefit following Ipilimumab therapy is associated with a provocative neoantigen signature composed of neoantigen tetrapeptides similar to viral and bacterial antigens[35]. An important question is whether therapeutic methods that could trigger these innate immune related pathways can produce additive or synergistic effects when combined with checkpoint blockade.

Toll-Like Receptor activation induces dendritic cell activation and maturation

Toll-Like Receptors (TLRs) are a class of PRRs that recognize a variety of PAMPs. There are 10 types of TLRs in humans. Here, we focus on TLR-7, the receptor activated by the drug Imiquimod. Binding between TLR-7 on dendritic cells and tumor-associated nucleotide molecules up regulates the production of transcription factor Nuclear Factor-Kappa B (NF-κB) in a process mediated by Myeloid Differentiation Primary Response Gene (MyD88). NF-κB then translocates into the nucleus and promotes transcription of pro-inflammatory cytokines (including Tumor Necrosis Factor Alpha (TNF-α) and various interleukins) and Chemokines (including CCL2, CCL3 and CCL4). In addition, activation of TLRs also induces IFN-α production by DCs[36-39]. It has also been shown that stimulation of TLR7 enhances DC survival, which is characterized by increased expression of CCR7 and co-stimulatory markers, CD80 and CD86[40]. Toll-Like receptor agonist (Imiquimod)

Imiquimod is a synthetic TLR-7 agonist that has been approved by the FDA for primary superficial basal cell carcinoma. Imiquimod Monotherapy has limited efficacy in treating metastatic melanoma[39]. Combined Imiquimod and melanoma peptide vaccine therapy has been tested in Murine models, and the combined therapy demonstrated measurable anti-tumor immunity[40]. Vaccine and Imiquimod combined therapy is currently under investigation.

STING activation promotes antigen presentation and T-cell priming

The Stimulator of Interferon Genes Complex (STING) is an endoplasmic reticulum resident protein that functions as an adaptor molecule for cytosolic DNA recognition. The STING pathway is essential for tumor-associated antigen uptake by APCs and T-cell priming[41]. Tumor-derived DNA is taken up by host APCs, which generate cyclic dinucleotides through enzyme Cyclic-GMP-AMP Synthase (cGAS)[42-44]. Binding of cyclic dinucleotides to STING leads to Phosphorylation of Interferon

Regulatory Factor 3 (IRF3), which in turn promotes transcription and production of type I interferons by APCs, which are important for early innate immune responses (Figure 2)[33,45,46].

**Figure 2:** The STING signaling pathway. After up-take of tumor-associated DNA, host APCs generate cyclic dinucleotides in a process mediated by enzyme cGAS. Cyclic dinucleotides directly activate STING, which specifies phosphorylation of IRF3 by the kinase TBK1. Phosphorylated IRF3 moves into the nucleus to enhance transcription of type I interferons, which play a critical role in early innate immune response.

**β-Catenin inhibitor**

Although β-catenin has long been identified as a potential therapeutic target, the clinical development of β-catenin inhibitors is still at an early stage. Currently, several anti β-catenin agents have been reported in pre-clinical studies[50,51], none in combination with immune checkpoint inhibitors.

**Inhibition of the MAPK pathway enhances cancer cell recognition by T-cells and augments T-cell function**

The BRAF (V600E) mutation, the most common mutation in melanoma, results in constitutive activation of the Mitogen-Activated Protein Kinase (MAPK) signaling pathway. The MAPK pathway regulates cell cycle progression and cell growth, and MAPK activation is implicated in the development of many cancers. In patients with melanoma, high response rates and rapid disease stabilization or regression are observed following administration of targeted therapies that inhibit BRAF and MEK. However, early clinical benefit is followed by emergence of drug resistance in most patients. Interestingly, it has been observed in several studies that BRAF inhibitor enhances T-cell infiltration[52,53]. BRAF inhibition also leads to up regulation of Melanocyte Differentiation Antigens (MDA) that might improve recognition of tumor cells by MDA-specific T-cells[53,55].

MAPK pathway activation results in increased activity of the transcriptional factor C-Jun, which up regulates the transcription of PD-L1 through a process mediated by its co-factor Signal Transducer And Activator Of Transcription 3 (STAT-3)[56]. It has also been demonstrated that Oncogenic BRAF mutations enhance Interleukin-1 production. IL-1 up regulates expression of PD-L1, PD-L2 and COX-2 on Tumor-Associated Fibroblasts (TAFs), which in turn inhibit T-cell function and cytokine production[57].

A recent study reported synergy of PD-1 blockade and BRAF inhibitors in a mouse melanoma model, with dose-dependent increases in T-cell infiltration and PD-L1 expression following BRAF inhibition[58]. However, the first clinical trial of combined BRAF inhibitor (Vemurafenib) and Immununab was terminated due to severe hepatotoxicity[59]. It has been proposed that the combination of checkpoint blockade with MEK inhibition may achieve similar efficacy with fewer side effects compared to BRAF inhibition. The triple combination of BRAF inhibitor, MEK inhibitor and PD-1 inhibitor has been tested in mouse models with promising results[60]. The phase I trial testing Dabrafenib, Trametinib, Imnunumab and Nivolumab quadruple therapy is currently underway.

**Radiation enhances trafficking and infiltration of T-cells and tumor cell recognition**

Radiation therapy causes cancer cell death by inducing DNA damage. During the process, radiation promotes tumor antigen presentation and T-cell recruitment. Recent studies have shown that IFN-γ plays a key role in inducing T-cell trafficking after radiation. Radiation stimulates secretion of IFN-γ, which up regulates the expression of Vascular Cell Adhesion Molecule 1 (VCAM-1) on tumor vessels, facilitating T-cell movement into the tumor[61,62]. IFN-γ also stimulates production of C-X-C Motif Chemokine Ligand 9 (CXCL9) by tumor cells, including C-X-C Motif Chemokine Ligand 10 (CXCL10) that is known to promote T-cell infiltration[63].

Radiation also augments T-cell recognition of melanomas and May enhance T-cell trafficking into tumors following DNA damage.
The early immune response depends on the activation of APCs. High Mobility Group Protein B1 (HMGB-1) is important in endocytosis of tumor-associated nucleic acids into dendritic cells. TIM-3 expressed on dendritic cells interferes with HMGB-1, preventing uptake of nucleic acids released by tumor cells and subsequent innate immune response activation[72]. Additionally, it has been suggested that TIM-3 affects T-cell responses indirectly via helper T-cells and regulatory T-cells.

TIM-3 acts as an inhibitory molecule in T-cell response

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TIM-3 blockade results in increased secretion of IFN-γ from helper T-cells[73]. It has also been observed that TIM-3+ regulatory T-cells accumulate in the tumor before cytotoxic T-cell exhaustion and depletion of these regulatory T-cells improves cytotoxic T-cell functions[64]. As the majority of tumor-infiltrating T-cells often express both PD-1 and TIM-3[73], it is expected that combined PD-1 and TIM-3 inhibition would generate stronger immune responses, as has been demonstrated in several murine studies[75,76].

LAG-3 directly and indirectly regulates cytotoxic T-cell activity

LAG-3 is another important checkpoint that has been identified recently. LAG-3 is a surface protein found on many different types of cells and MHC class II is its only known ligand. LAG-3 suppresses cytotoxic T-cell activity indirectly by suppressing CD4+ helper T-cells and activating regulatory T-cells. LAG-3 on helper T-cells directly binds to MHC II on APCs, resulting in inhibition of CD4+ helper T-cell proliferation and production of pro-inflammatory cytokines including IFN-γ[77]. LAG-3 is also known to enhance regulatory T-cells function, although the exact mechanism is not fully understood[78]. LAG-3 expression is also up regulated on CD8+ cytotoxic T-cells in response to antigen stimulation, and blockade of LAG-3 increases CD8+ T-cell proliferation and secretion of IFN-γ[79].

In mouse experiments, the combination of LAG-3 inhibitor and PD-1 inhibitor suppresses tumor growth more than LAG-3 or PD-1 monotherapy[80]. A phase I study has been initiated for the treatment of solid tumors with anti-LAG-3 monoclonal antibody BMS-986016 administered alone or in combination with Nivolumab. Besides transmembrane LAG-3, there is also a Soluble Form of LAG-3 (sLAG-3). Like transmembrane LAG-3, sLAG-3 also binds HMC class II molecules, however sLAG-3 has a stimulatory effect: sLAG-3 activates APCs when bind to MHC class II on the APC cell membrane[81]. sLAG-3 protein, IMP321, has been investigated as a potential therapeutic agent. IMP321 delivered some clinical benefit in several phase I clinical trials including patients with several types of cancer. However, more extensive study is required in order to fully evaluate the efficacy of IMP321[82,83].

Conclusion

CTLA-4 inhibitor and PD-1 inhibitors have already demonstrated the potential of immune checkpoint blockade based immunotherapy in treating melanoma. With a deeper understanding of the mechanisms of tumor immune evasion, including many other inhibitory pathways, we are discovering new therapeutic targets and strategies that may produce synergy with checkpoint blockade in patients for whom current therapies are ineffective.

Depending on the immune microenvironment, patients will likely require different combinations of treatments to achieve tumor remission. In addition to novel therapeutic modalities, the development of strategies to characterize the immune milieu with the potential to customize treatments for each individual should be another emphasis of future research. We expect to see continuous effort in the near future devoted to exploring new therapeutic targets and different combinations of treatment methods, to push the frontier of available treatments for patients.
with melanoma and other malignancies.

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